

# Computerlinguistik II / Sprachtechnologie

Vorlesung im SoS 2017  
(M-GSW-10)

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# Problems with Bottom-up and Top-down Parsing

Problems with **left-recursive rules** like  $NP \rightarrow NP PP$ :  
don't know how many times recursion is needed (top-down)

Pure Bottom-up or Top-down Parsing is **inefficient** because it generates and explores too many structures which in the end turn out to be invalid (several grammar rules applicable  $\rightarrow$  'interim' ambiguity).

**Combine top-down and bottom-up approach:**

Start with sentence; use rules top-down (**look-ahead**);  
read input; try to find shortest path from input to  
highest unparsed constituent (from **left to right**).

$\rightarrow$  **Chart-Parsing / Earley-Parser**

# Chart Parsing / Earley Algorithm

Earley-Parser based on [Chart-Parsing](#)

**Essence:** Integrate top-down and bottom-up parsing. Keep recognized sub-structures (sub-trees) for shared use during parsing.

**Top-down:** Start with S-symbol. Generate all applicable rules for S. Go further down with left-most constituent in rules and add rules for these constituents until you encounter a left-most node on the RHS which is a word category (POS).

**Bottom-up:** Read input word and compare. If word matches, mark as recognized and move parsing on to the next category in the rule(s).

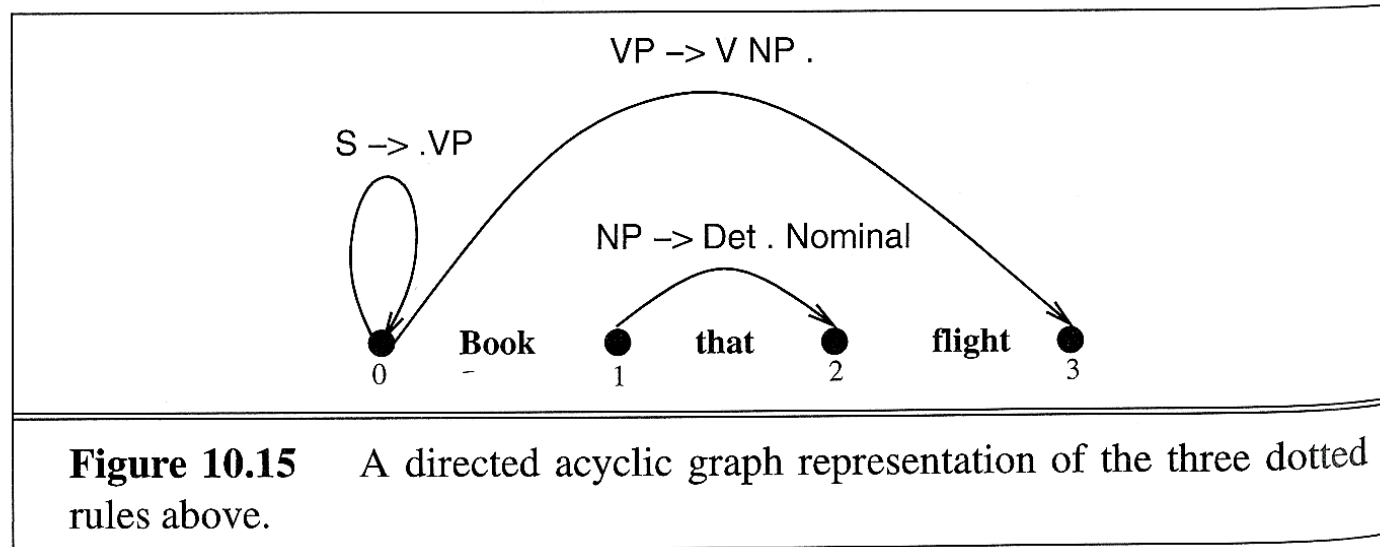
# Chart – a Graph-Based Data Structure for Parsing

## Chart

Sequence of  $n$  input words;  $n+1$  nodes marked 0 to  $n$ .

Arcs indicate recognized part of RHS of rule.

The • indicates recognized constituents in rules.



Jurafsky & Martin, Figure 10.15, p. 380

# Chart Parsing / Earley Parser 1

## Chart

Sequence of input words;  $n+1$  nodes marked 0 to  $n$ .

States in chart represent possible rules and recognized constituents, with arcs.

## Interim state

$S \rightarrow \bullet VP, [0,0]$

- top-down look at rule  $S \rightarrow VP$
- nothing of RHS of rule yet recognized ( $\bullet$  is far left)
- arc at beginning, no coverage (covers no input word; beginning of arc at 0 and end of arc at 0)

# Chart Parsing / Earley Parser 2

## Interim states

**NP → Det • Nominal, [1,2]**

- top-down look with rule NP → Det • Nominal
- Det recognized (• after Det)
- arc covers one input word which is between node 1 and node 2
- look next for Nominal

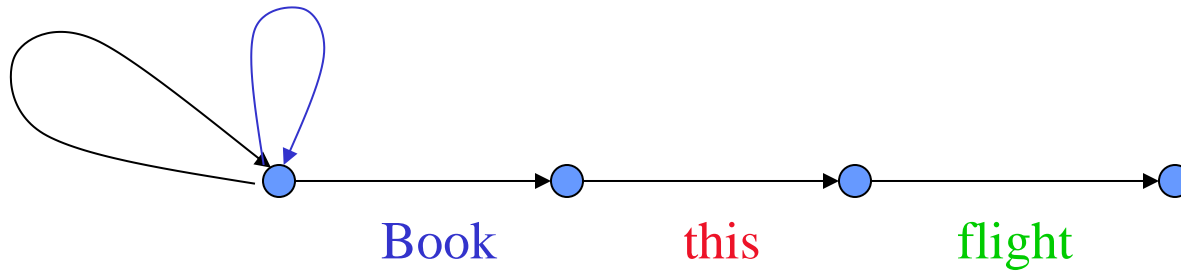
**NP → Det Nominal •, [1,3]**

- Nominal was recognized, move • after Nominal
- move end of arc to cover Nominal (change 2 to 3)
- structure is completely recognized; arc is inactive; mark NP as recognized in other rules (move •).

# Chart - 0

$S \rightarrow \cdot VP$

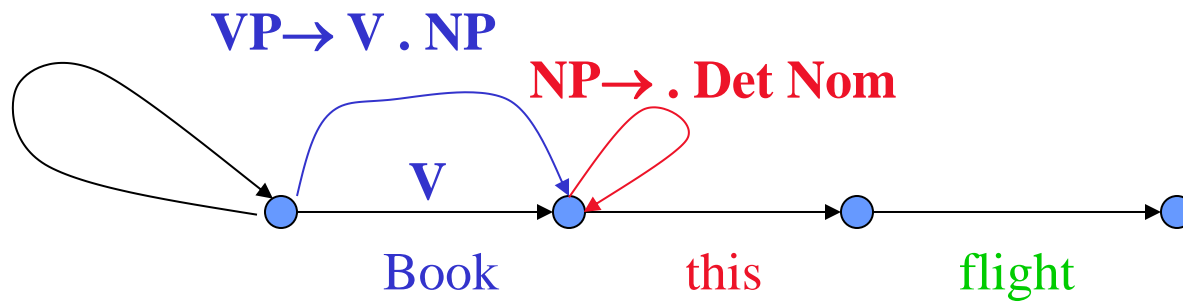
$VP \rightarrow \cdot V NP$





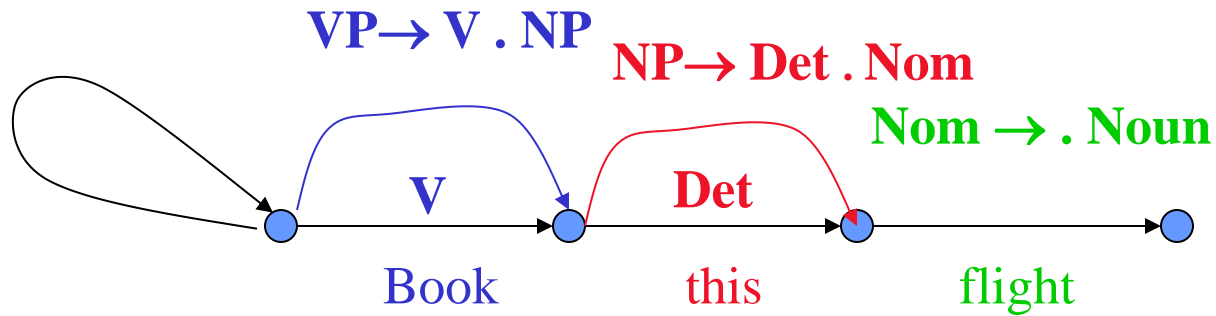
# Chart - 1

$S \rightarrow \cdot VP$



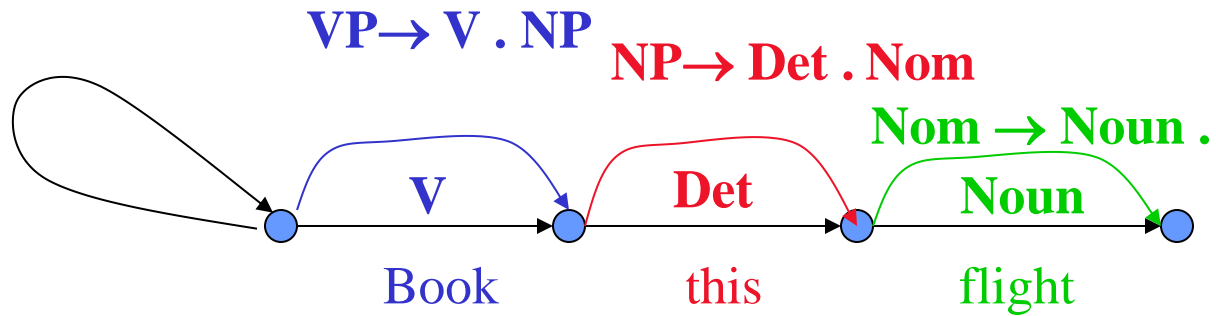
# Chart - 2

$S \rightarrow \cdot VP$



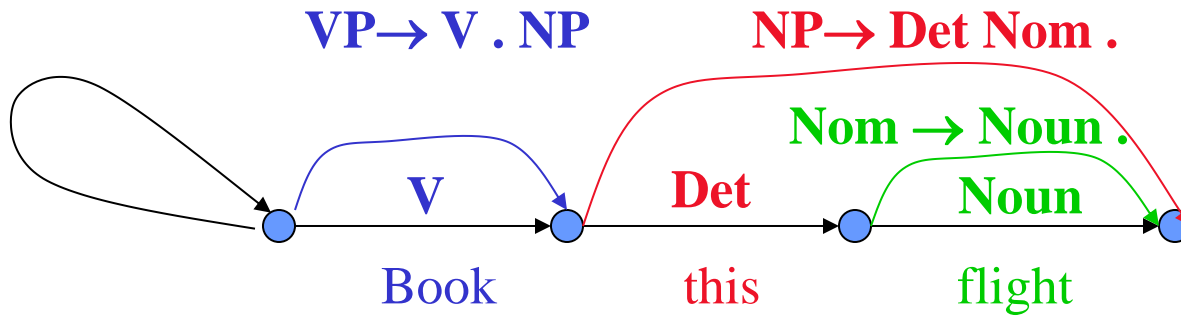
# Chart - 3a

$S \rightarrow \cdot VP$

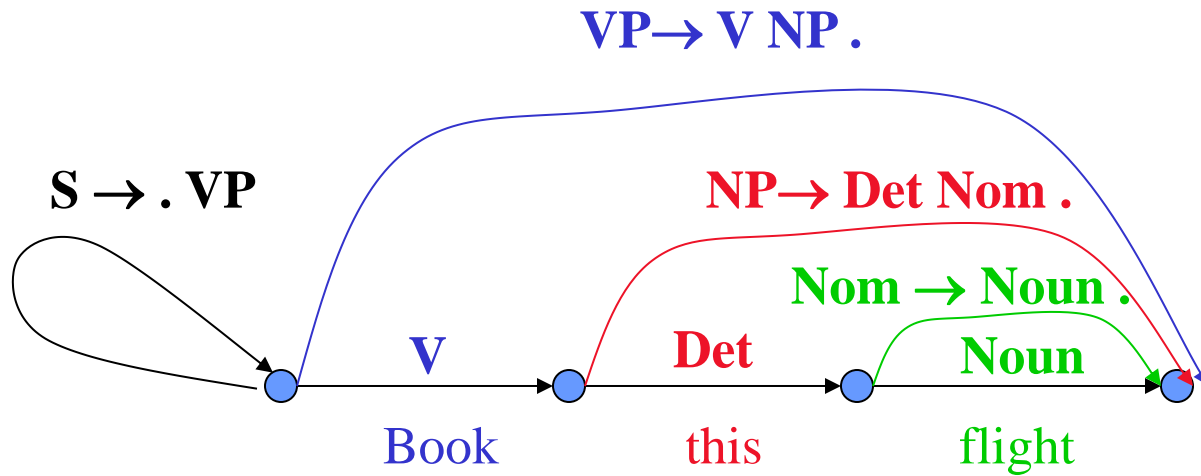


# Chart - 3b

$S \rightarrow \cdot VP$

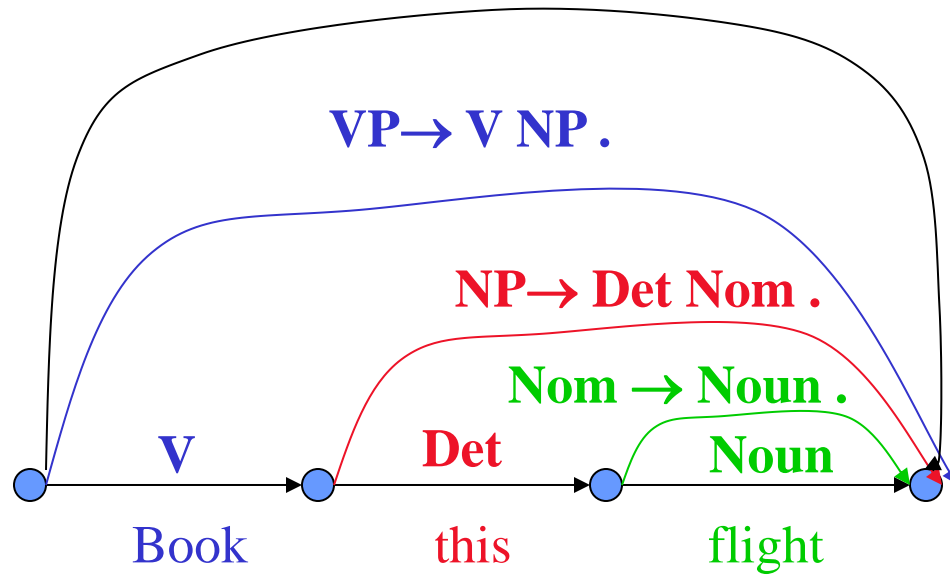


# Chart - 3c



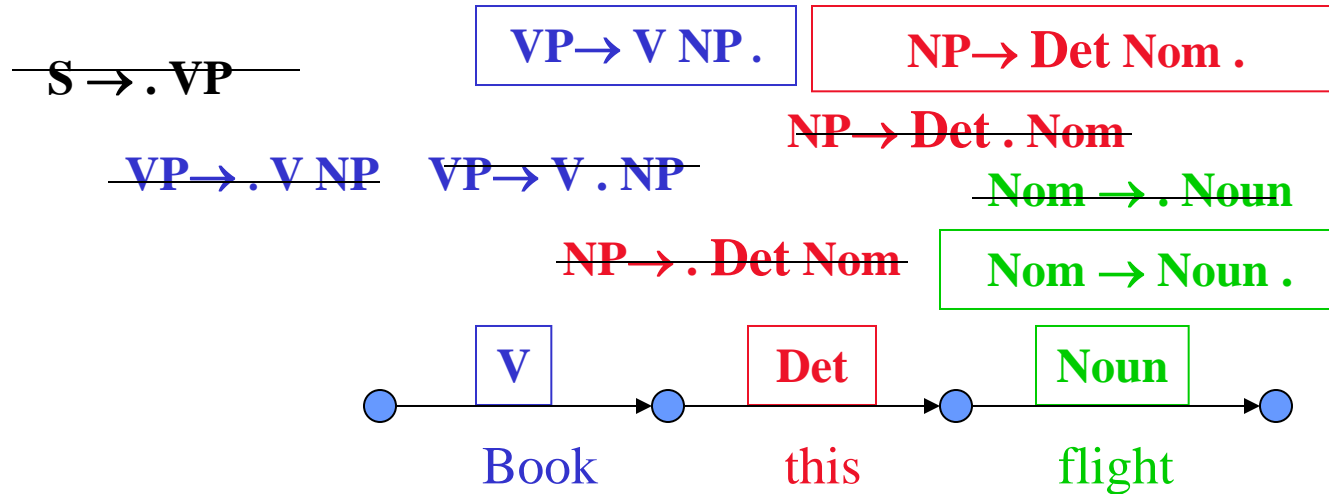
# Chart - 3d

$S \rightarrow VP.$

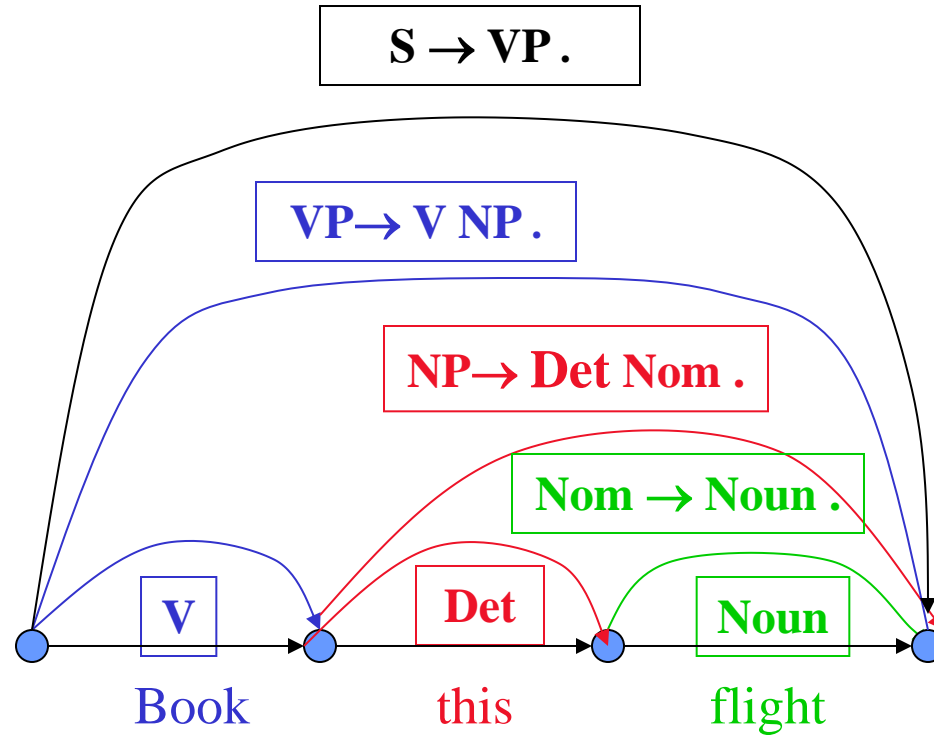


# Chart - All States

$S \rightarrow VP.$

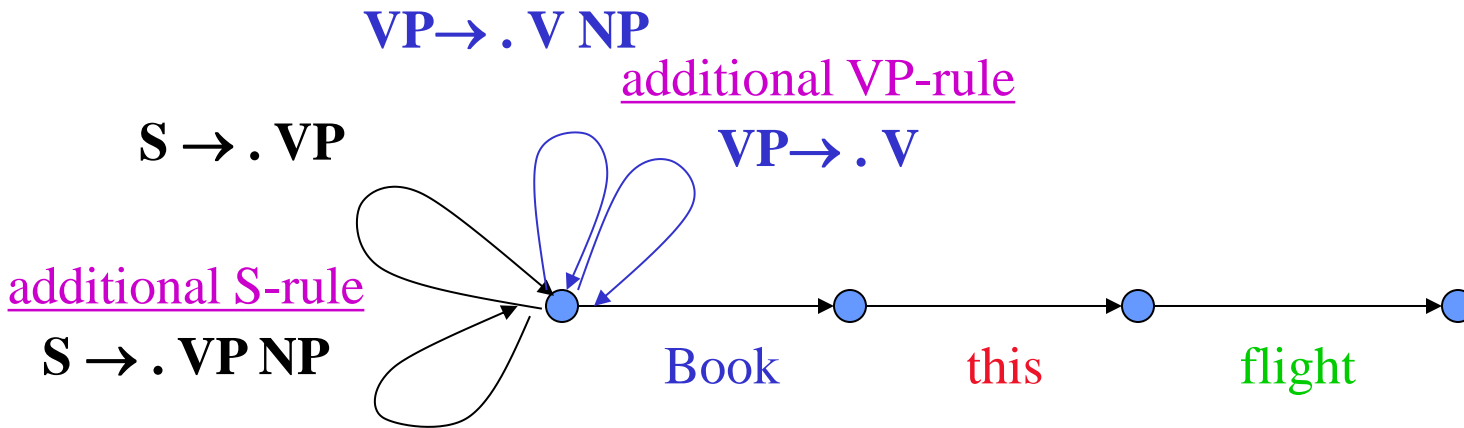


# Chart - Final States

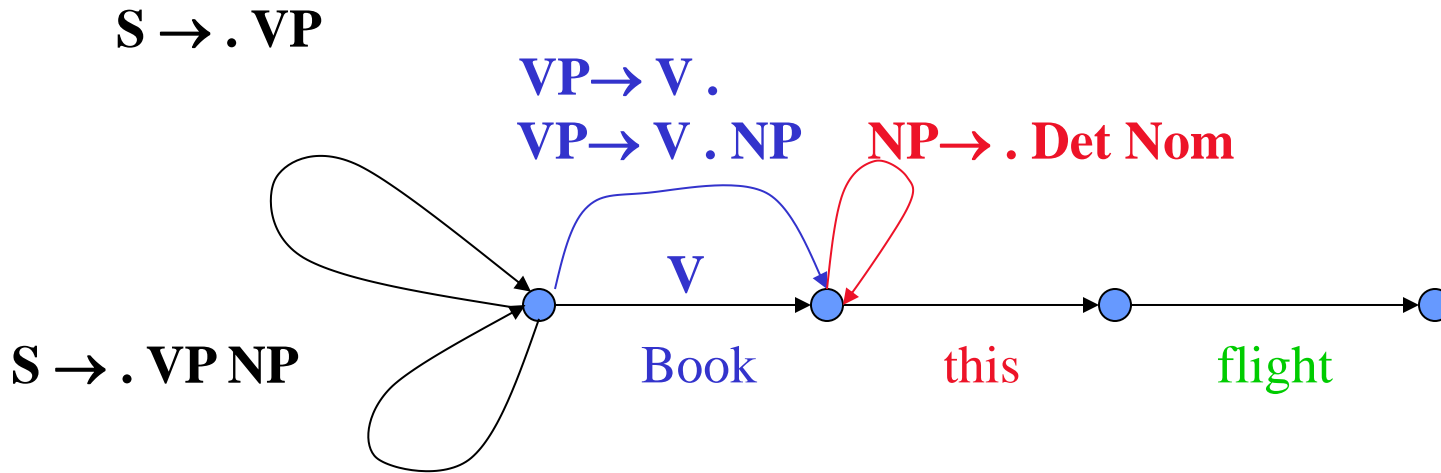




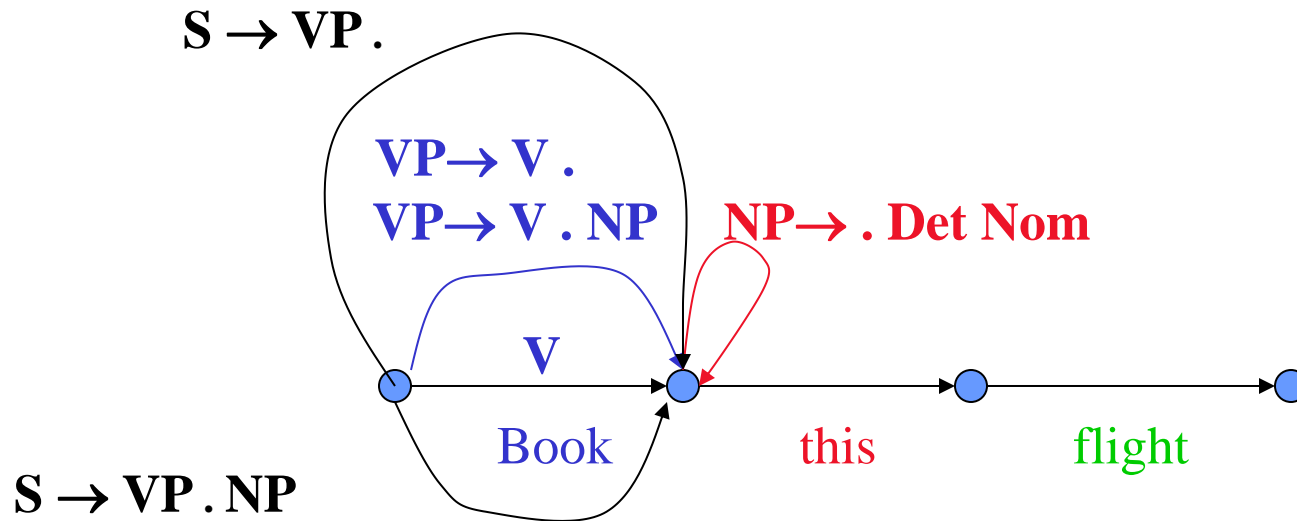
# Chart 0 with two S- and two VP-Rules



# Chart 1a with two S- and two VP-Rules



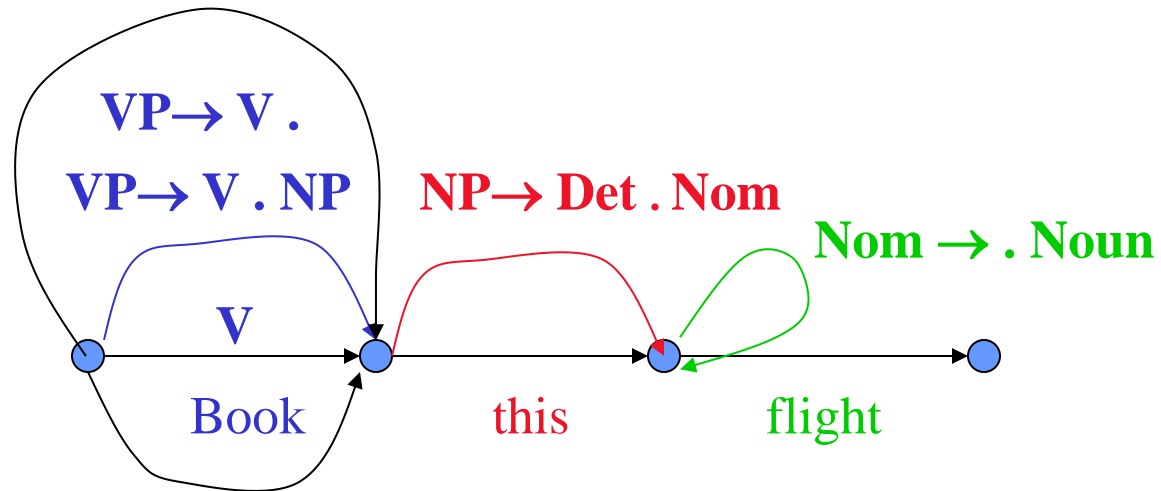
# Chart 1b with two S- and two VP-Rules



# Chart 2 with two S- and two VP-Rules

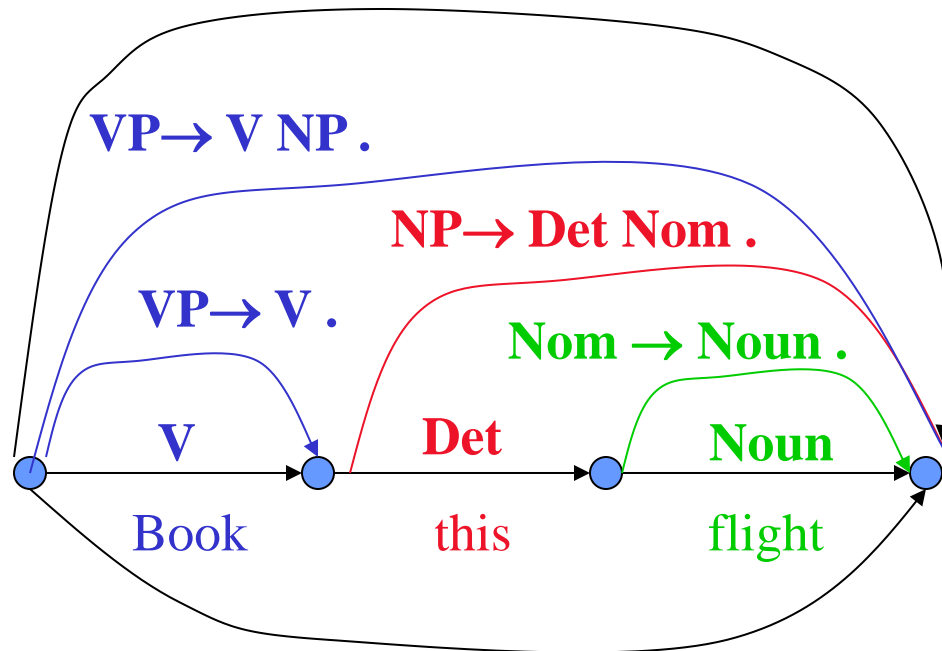
$S \rightarrow VP.$

$S \rightarrow VP.NP$



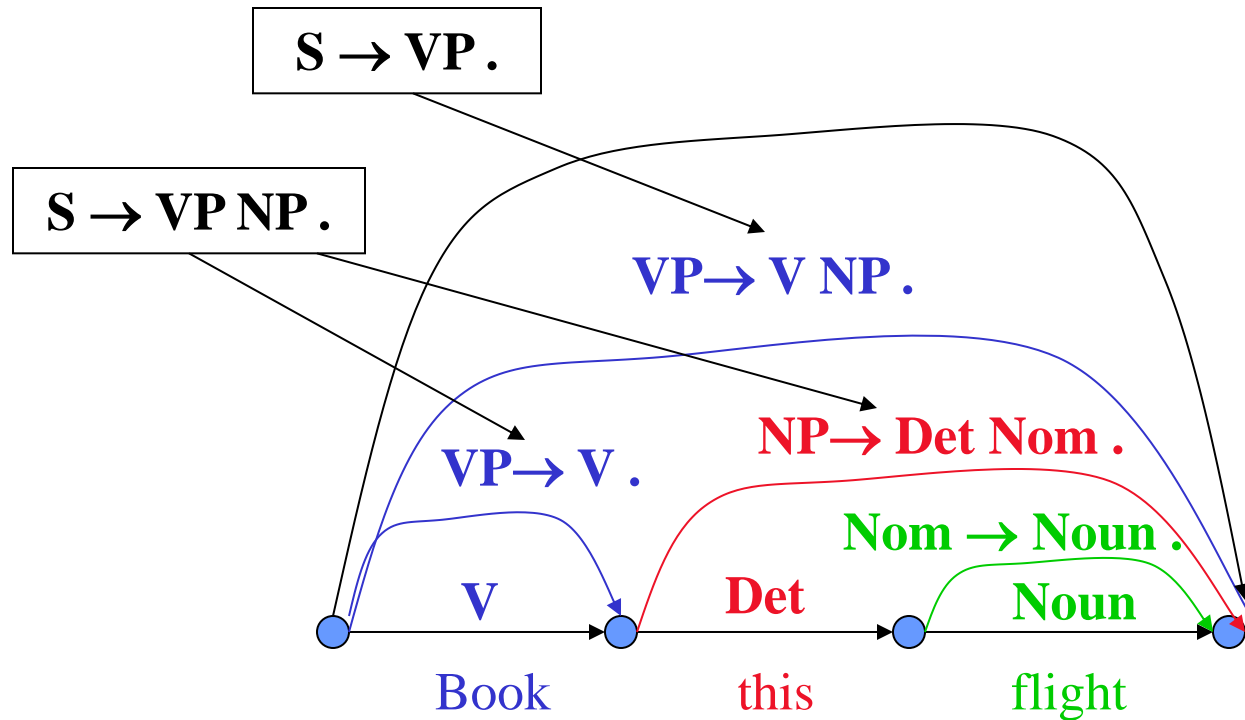
# Chart 3 with two S- and two VP-Rules

$S \rightarrow VP.$



$S \rightarrow VP NP.$

# Final Chart - with two S-and two VP-Rules



# Earley Algorithm - Functions

## predictor

generates new rules for partly recognized RHS with constituent right of • (**top-down generation**)

## scanner

if word category (POS) is found right of the •, the Scanner reads the next input word and adds a rule for it to the chart (**bottom-up mode**)

## completer

if rule is completely recognized (the • is far right), the recognition state of earlier rules in the chart advances: the • is moved over the recognized constituent (**bottom-up recognition**).

# Earley-Algorithm

```
function EARLEY-PARSE(words, grammar) returns chart
  ENQUEUE( $(\gamma \rightarrow \bullet S, [0,0])$ , chart[0])
  for i_from 0 to LENGTH(words) do
    for each state in chart[i] do
      if INCOMPLETE?(state) and
        NEXT-CAT(state) is not a part of speech
      then PREDICTOR(state)
      else if INCOMPLETE?(state) and
        NEXT-CAT(state) is a part of speech
      then SCANNER(state)
      else COMPLETER(state)
    end
  end
  return(chart)
```

- continued on next slide -



```
procedure PREDICTOR(( $A \rightarrow \alpha \bullet B \beta$ ,  $[i, j]$ ))  
  for each ( $B \rightarrow \gamma$ ) in GRAMMAR-RULES-FOR( $B$ , grammar)  
  do ENQUEUE(( $B \rightarrow \gamma$   $[j, j]$ , chart[ $j$ ])  
end
```

```
procedure SCANNER (( $A \rightarrow \alpha \bullet B \beta$ ,  $[i, j]$ ))  
  if  $B \in$  PARTS-OF-SPEECH(word[ $j$ ])  
  then ENQUEUE(( $B \rightarrow$  word[ $j$ ],  $[j, j+1]$ ), chart[ $j+1$ ])
```

```
procedure COMPLETER (( $B \rightarrow \gamma \bullet$ ,  $[j, k]$ ))  
  for each ( $A \rightarrow \alpha \bullet B \beta$ ,  $[i, j]$ ) in chart[ $j$ ]  
  do ENQUEUE(( $A \rightarrow \alpha B \bullet \beta$ ,  $[i, k]$ ), chart[ $k$ ])  
end
```

```
procedure ENQUEUE(state, chart-entry)  
  if state is not already in chart-entry  
  then PUSH(state, chart-entry)
```

```
end
```

**function** EARLEY-PARSE(*words*, *grammar*) **returns** *chart*

ENQUEUE( $(\gamma \rightarrow \bullet S, [0, 0])$ , *chart*[0])

**for**  $i \leftarrow$  **from** 0 **to** LENGTH(*words*) **do**

**for each** *state* **in** *chart*[*i*] **do**

**if** INCOMPLETE?(*state*) **and**

      NEXT-CAT(*state*) is not a part of speech **then**

      PREDICTOR(*state*)

**elseif** INCOMPLETE?(*state*) **and**

      NEXT-CAT(*state*) is a part of speech **then**

      SCANNER(*state*)

**else**

      COMPLETER(*state*)

**end**

**end**

**return**(*chart*)

**procedure** PREDICTOR( $(A \rightarrow \alpha \bullet B \beta, [i, j])$ )

**for each**  $(B \rightarrow \gamma)$  **in** GRAMMAR-RULES-FOR(*B*, *grammar*) **do**

    ENQUEUE( $(B \rightarrow \bullet \gamma, [j, j])$ , *chart*[*j*])

**end**

**procedure** SCANNER( $(A \rightarrow \alpha \bullet B \beta, [i, j])$ )

**if**  $B \subset$  PARTS-OF-SPEECH(*word*[*j*]) **then**

    ENQUEUE( $(B \rightarrow \text{word}[j], [j, j+1])$ , *chart*[*j+1*])

**procedure** COMPLETER( $(B \rightarrow \gamma \bullet, [j, k])$ )

**for each**  $(A \rightarrow \alpha \bullet B \beta, [i, j])$  **in** *chart*[*j*] **do**

    ENQUEUE( $(A \rightarrow \alpha B \bullet \beta, [i, k])$ , *chart*[*k*])

**end**

**procedure** ENQUEUE(*state*, *chart-entry*)

**if** *state* is not already in *chart-entry* **then**

    PUSH(*state*, *chart-entry*)

**end**

Chart[0]

S0	$\gamma \rightarrow * S$	[0,0]	[]	Dummy start state
S1	$S \rightarrow * NP VP$	[0,0]	[]	Predictor
S2	$NP \rightarrow * Det NOMINAL$	[0,0]	[]	Predictor
S3	$NP \rightarrow * Proper-Noun$	[0,0]	[]	Predictor
S4	$S \rightarrow * Aux NP VP$	[0,0]	[]	Predictor
S5	$S \rightarrow * VP$	[0,0]	[]	Predictor
S6	$VP \rightarrow * Verb$	[0,0]	[]	Predictor
S7	$VP \rightarrow * Verb NP$	[0,0]	[]	Predictor

Chart[1]

S8	$Verb \rightarrow book*$	[0,1]	[]	Scanner
S9	$VP \rightarrow Verb*$	[0,1]	[S8]	Completer
S10	$S \rightarrow VP*$	[0,1]	[S9]	Completer
S11	$VP \rightarrow Verb * NP$	[0,1]	[S8]	Completer
S12	$NP \rightarrow * Det NOMINAL$	[1,1]	[]	Predictor
S13	$NP \rightarrow * Proper-Noun$	[1,1]	[]	Predictor

Chart[2]

S14	$Det \rightarrow that*$	[1,2]	[]	Scanner
S15	$NP \rightarrow Det * NOMINAL$	[1,2]	[S14]	Completer
S16	$NOMINAL \rightarrow * Noun$	[2,2]	[]	Predictor
S17	$NOMINAL \rightarrow * Noun NOMINAL$	[2,2]	[]	Predictor

Chart[3]

S18	$Noun \rightarrow flight*$	[2,3]	[]	Scanner
S19	$NOMINAL \rightarrow Noun*$	[2,3]	[S18]	Completer
S20	$NOMINAL \rightarrow Noun * NOMINAL$	[2,3]	[S18]	Completer
S21	$NP \rightarrow Det NOMINAL *$	[1,3]	[S14,S19]	Completer
S22	$VP \rightarrow Verb NP*$	[0,3]	[S8,S21]	Completer
S23	$S \rightarrow VP*$	[0,3]	[S22]	Completer
S24	$NOMINAL \rightarrow * Noun$	[3,3]	[]	Predictor
S25	$NOMINAL \rightarrow * Noun NOMINAL$	[3,3]	[]	Predictor