Questions marked with a * are required

Introduction - Active machine learning and the annotation of text

This survey aims at investigating the extent to which Active Learning (AL) is used in the context of Natural Language Processing (NLP), as well as addressing the reasons to why (or why not) AL has been found applicable to a specific task.

Supervised machine learning methods have been successfully applied to many NLP tasks in the last few decades. While these techniques have shown to work well, they require large amounts of labeled training data in order to achieve high performance.

Such training material, however, is typically not available for specific domains or problems. Thus, one could say that the annotation of data is becoming a major bottleneck in the development of NLP systems.

AL addresses this problem. The main idea is to give the control of which data points need to be annotated to the learning mechanism in order to achieve a high model performance with as little labeled examples as possible.

This survey targets participants who were or are currently involved in the annotation of training material for any kind of NLP task. It is not required that you are familiar with or have used AL. It will take approximately 10 minutes to fill out the survey. Results will be published **online** and sent by email to the participants (if desired).

The survey is completely for non-commercial, academic purposes. Any user-specific data will be kept in confidence and not passed to a third party. The survey is initiated by <u>Katrin Tomanek</u> (Jena University, Germany) and <u>Fredrik Olsson</u> (SICS, Sweden). If you have any question, please feel free to contact us.

So, lets start	
Have you ever used Active Learning in order to speed up annotation Yes	n/labeling work of any linguistic data? * No

Part I - Questions on annotation project supported by Active Learning

The following questions relate to type and scope of previous annotation projects where you have used AL. In case you performed more than one such annotation project, please answer the following question with respect to **one** project only.

Note:	we will refer to the single documents, words, sentences etc. which had to be labeled as (annotation) instances.
Which	of the following is the annotation project (where you used AL) you are reporting on? (Select one alternative) *
0	Most recent one
0	Most successful one
0	Largest one in terms of labeled units
0	Largest one in terms of spent annotation time
0	Most interesting one
0	Other
What	kind of annotation had to be done? (Multiple answers allowed) *
\square	Document classification
	Part-of-speech
	Chunk

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	Parse
	Word senses
	Sentiment
	Named entity
	Relations/Ev ents
	Other
Erom	which domain(s) where the annotated instances? (Multiple answers allowed) *
	Newswire
ī	Bio-medical
$\bar{\Box}$	Other
	Other
What	was the principal language? (Select one alternative) *
0	English
0	Hindi
0	Mandarin
0	Arabic
0	Spanish
0	French
0	German
0	Other
How	many person months were spent on annotation? *
How	many annotators have been involved? *
Wha	t was the size of the resulting, labeled corpus in terms of annotated instances (please provide the annotation instance type
in bra	ackets, e.g., "1000 (tokens)") ? *
Номи	did you assemble the corpus of unlabeled annotation instances (i.e., the pool from which your AL mechanism selected)?
	ct one alternative) *
0	We took all annotation instances available to us
0	Random subsample of all annotation available instances
0	Subsample of all annotation available instances based on introspection
0	Subsample of all annotation available instances based on keywords
0	Other

brackets, e.g., "1000 (tokens)") ? *	
Part II - Questions on applied Active Learning techniques and experienc	es
Vhat kind(s) of active learning approach have you used? (Multiple alternatives allowed) *	
Uncertainty sampling	
Query-by-Committee (including variants such as Query-by-Bagging, Query-by-Boosting, Co-testing etc)	
Variance Reduction	
Expected error reduction	
Other	
What was the base learning algorithm used (e.g., decision trees, Maximum Entropy)? *	
low did you decide when to stop the annotation process? (Select one alternative) *	
Evaluation of model learned on annotations with a held-out gold standard	
Money and/or time available for annotation used up	
All relevant documents annotated	
Expected gains in model performance compared to additional annotation costs below critical threshold	
Other	
that was your primary mativation to use AL2 (Coloct one alternative) *	
/hat was your primary motivation to use AL? (Select one alternative) * Minimize labeling cost	
Gather experience with/test AL	
Test your annotation guidelines against hard cases	
Other	
Other	
ave your expectations with respect to AL been met? (Select one alternative) *	
O Yes	
O No	
Partially	
f not, please describe the problems/shortcomings.	
p. 2222 222320 the president statements.	

Pleas estim	se estimate the reduction of annotation effort in terms of <i>annotation units in percent</i> (leave blank if you cannot give an late).
Pleas	se estimate the reduction of annotation effort in terms of <i>time saved in percent</i> (leave blank if you cannot give an eate).
Pleas	se describe any other experiences regarding your practical use of AL
Would	d you use AL again in an annotation project of this type and scope? (Select one alternative) *
0	Yes
0	No, because
	t I - Questions on previous annotation projects e following questions relate to type and scope of your previous annotation projects. In case you performed more than one such annotation project, please answer the following question with respect to one project only.
	we will refer to the single documents, words, sentences etc. which had to be labeled as <i>(annotation) instances</i> . In of the following is the annotation project you are reporting on? (Select one alternative) *
0	Most recent one
0	Most successful one
\circ	Largest one in terms of labeled units
0	Largest one in terms of spent annotation time
\circ	Most interesting one
0	Other
What	kind of annotation had to be done? (Multiple alternatives allowed) *
	Document classification
	Part-of-speech
	Chunk
	Parse
	Word senses
	Sentiment
	Named entity
	Relations/Ev ents

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	Other
From	which domain(s) where the annotated instances? (Multiple alternatives allowed) *
	Newswire
	Bio-medical
	Other
What	was the principal language of the annotated texts? (Select one alternative) *
Õ	English Used:
Õ	Hindi Mandarin
Ŏ	Arabic
Ō	Spanish
0	French
0	German
0	Other
How r	many person months were spent on annotation? *
How r	many annotators have been involved? *
	was the size of the resulting, labeled corpus in terms of annotated instances (please provide the annotation instance type
in bra	ckets, e.g., "1000 (tokens)") ? *
How d	id you assemble the corpus of annotation instances to be labeled? (Select one alternative) *
Õ	We took all annotation instances available to us Random subsample of all available annotation instances
Ŏ	Subsample of all available annotation instances based on introspection
Ō	Subsample of all available annotation instances based on keywords
0	Other
How d	id you decide when to stop the annotation process? (Select one alternative) *
0	Evaluation of model learned on annotations with a held-out gold standard
0	Cross-validation of model on annotations
0	Money and/or time available for annotation used up
0	All relevant documents annotated
0	Expected gains in model performance compared to additional annotation costs below critical threshold

AT.	Survey

re th	ere specific reasons to why you did not apply Active Learning in this project? (Multiple alternatives allowed) *
╝	Never heard of Active Learning
╝	Insufficient expertise/knowledge
	Did not want to spend overhead in implementing Active Learning-based annotation environment
╝	Did not meet my project's specific requirements
╝	Wanted a corpus with a different instance distribution
╝	Was not convinced that Active Learning would reduce labeling cost
╝	Doubts that Active Learning would work well in my scenario
	Other
7 Ha	were your doubts concerning the applicability of Active Learning to your scenario?
	d you consider applying active learning in future annotation projects? (Select one alternative) * Yes
	d y ou consider applying active learning in future annotation projects? (Select one alternative) *
	d you consider applying active learning in future annotation projects? (Select one alternative) * Yes
	d you consider applying active learning in future annotation projects? (Select one alternative) * Yes No
/ould	y ou consider apply ing active learning in future annotation projects? (Select one alternative) * Yes No May be
ould O O O	d you consider applying active learning in future annotation projects? (Select one alternative) * Yes No May be Only under specific circumstances: t III - Background Information e are you currently working? (Select one alternative) *
Yould O O O O	ty ou consider apply ing active learning in future annotation projects? (Select one alternative) * Yes No May be Only under specific circumstances: t III - Background Information
Yould O O O O	d you consider apply ing active learning in future annotation projects? (Select one alternative) * Yes No May be Only under specific circumstances: t III - Background Information a are you currently working? (Select one alternative) * Academia Industry
ould O O O	d you consider applying active learning in future annotation projects? (Select one alternative) * Yes No May be Only under specific circumstances: t III - Background Information e are you currently working? (Select one alternative) * Academia

Computer Science/Informatics

Mathematics

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AL Survev

0	Other)			
Whic	h field a	are you current	ly working in? (Select one alterna	tive) *		
0	Gener	al Text Mining					
Ō	Bio Te	ext Mining					
Ō	Machi	ne Learning					
0	Other						
Do y	ou hav	e any commer	its on the surve	ey?			
Up	until this	s point, the su	rvey has been o		mous. Answering e section below is	the following questions will moptional.	ake you known to us
				scope of one of y ences such as UR		ojects. What was the name o	f the annotation
Pleas	se enter	your email ac	dress if you wa	ant to be informed	about survey res	sults	
May v	we conta	act you with fo	ollow-up question	ns? (requires that	you have specifi	ed you email address above	•
	ase co vey.	ontact <u>kat</u>	rin.tomane	ek@uni-jena	<u>.de</u> if you ha	ave any questions re	garding this
				Oues	tionPro 🕃		
			Su	ırvey Software		ent Polls	